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Toward a computational theory of conscious processing

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The study of the mechanisms of conscious processing has become a productive area of cognitive neuroscience. Here we review some of the recent behavioral and neuroscience data, with the specific goal of constraining present and future theories of the computations underlying conscious processing. Experimental findings imply that most of the brain's computations can be performed in a non-conscious mode, but that conscious perception is characterized by an amplification, global propagation and integration of brain signals. A comparison of these data with major theoretical proposals suggests that firstly, conscious access must be carefully distinguished from selective attention; secondly, conscious perception may be likened to a non-linear decision that 'ignites' a network of distributed areas; thirdly, information which is selected for conscious perception gains access to additional computations, including temporary maintenance, global sharing, and flexible routing; and finally, measures of the complexity, long-distance correlation and integration of brain signals provide reliable indices of conscious processing, clinically relevant to patients recovering from coma.

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Introduction

Consciousness is the only real thing in the world and the greatest mystery of all

Vladimir Nabokov, *Bend Sinister* (1947)

What brain mechanisms underlie our capacity to become aware of a specific piece of information, while many

others remain non-conscious? Considerable empirical and theoretical progress has been made lately in answering this deceptively simple question. This research gained leverage when it was recognized that visual illusions [1–3] and a great variety of other normal and pathophysiological conditions such as sleep, anesthesia, blindsight or hemineglect provided empirical windows into this phenomenon, by providing minimal contrasts between conscious and non-conscious brain states [4]. Here we review the recent advances made possible by this contrastive approach. We specifically focus on how these findings inform present-day theories of conscious processing. At present, there is no accepted computational theory of this function. Our hope is that the present review may point to the key ingredients that will lead to one.

Defining the terms

It is useful to start by separating the diversity of concepts that the everyday term of 'consciousness' can refer to. The *content of consciousness* refers to the specific information that I am aware of at a given moment. For instance, I am currently aware of reading these words, but not of the music playing in the background (until I attend to it). *Conscious access* is the process by which a piece of information becomes a conscious content. *Conscious processing* refers to the various operations that can be applied to a conscious content (as when multiplying two numbers mentally). *Conscious report* is the process by which a conscious content can be described, verbally or by various gestures. Such *reportability* remains the main criterion for whether a piece of information is or is not conscious: by hypothesis, I can report something if and only if I am aware of it.

A great variety of representations can be consciously accessed, including perceptual states, abstract knowledge, memories, plans, and other internal states (e.g. feelings, confidence, and errors). *Self-consciousness* is a particular instance of conscious access where the conscious 'spotlight' is oriented toward internal states.

The *state of consciousness*, associated with fluctuations in *wakefulness* or *vigilance*, finally, refers to the brain's very ability to entertain a stream of conscious contents. During normal wakefulness, any information may be consciously accessed, but this ability is continuously modulated according to the level of vigilance, and ultimately vanishes during coma, vegetative state, anesthesia or deep sleep. Although this review concentrates primarily on the mechanisms of conscious access and conscious

processing, in a final section, we consider how what has been learned about conscious access in normal subjects generalizes to the detection of the state of consciousness in brain-lesioned patients.

The boundaries of non-conscious processing

To clarify the nature of conscious processing, a first step consists in delineating what it is *not*. Using masking [5], crowding [6], inattention [7] or binocular rivalry [8], images can be presented under conditions such that they remain strictly invisible. Behavioral priming and brain imaging can then reveal how deep these stimuli are processed. Studies of non-conscious processing play an instrumental role in refuting specific theories of consciousness. The logic is simple: if a cognitive computation or neural marker, proposed by some theory to be uniquely associated with conscious processing, can be observed under demonstrably non-conscious conditions, then that theory is severely undermined.

Twenty years of research indicates that subliminal processing can be quite deep. Many cortical areas can be activated by an unseen stimulus, including areas of the visual ventral [9] and dorsal pathways [10]. The brain non-consciously recognizes the abstract identity of pictures, words and faces [9,11,12^{*}], the quantity attached to a number symbol [10,13], the fact that two words are related or synonymous [6,14,15], the emotional meaning of a word [16^{*},17], or the reward value of a coin or an arbitrary symbol [18,19,20^{**}].

In recent years, the frontiers of non-conscious processing have been pushed further. For instance, in chess experts, a brief non-conscious flash of a chessboard suffices to determine whether the king is in check [21]. Within the language domain, the grammatical fit of a masked word with the preceding sentence can be determined non-consciously [22^{*}]. Transitive inferences can also be deployed non-consciously: after non-conscious exposure to arbitrary word pairs such as ‘winter-red’ and ‘red-computer’, word association effects generalize to non-adjacent pairs (‘winter-computer’), a transitive link mediated by the hippocampus [23]. As another example of high-level computation, the approximate average of four masked numbers can be extracted non-consciously [13]. There is even a suggestion that multi-step operations such ‘ $9 - 5 + 2$ ’ may be mediated non-consciously [24], although this conclusion will require better control over the stimuli and the degree of non-consciousness.

All in all, these findings refute the idea that non-conscious processing stops at an early perceptual level: meaning and value can clearly be assigned non-consciously. There is also considerable evidence that attention can be deployed and enhance processing even if its target remains non-conscious [25–27]. At the brain level, attending to a stimulus and becoming conscious of it have distinct

signatures that occur on distinct trials and at different times [28–30]. For instance, by orthogonally manipulating visibility and attention (using masked images presented at the threshold for conscious perception such that half were visible and half were invisible, and preceding them by valid or invalid attentional cues), Wyart and colleagues [29] found a double dissociation: attention, but not visibility, modulated early occipital activity, while visibility, but not attention, modulated later temporal and parieto-frontal activity. Under some circumstances, greater spatial attention may even lead to a reduced visibility [31^{**}]. These findings refute theories that conflate attention and consciousness. William James’ classical definition of attention (‘the taking possession by the mind, in clear and vivid form, of one out of what seem several simultaneously possible objects or trains of thought’) mixes up conscious access proper (‘taking possession of the mind’) with selection (‘one out of several’) which can be fully non-conscious. Selective attention may facilitate conscious access, even when the attentional cue comes long after the stimulus is gone [32^{*}], but it operates largely non-consciously.

Recent findings also invalidate the idea that the central executive, which controls our strategies and inhibits unwanted behaviors, always operates consciously. A series of experiments with the go/no-go paradigm indicate that an unseen visual cue can trigger inhibitory control circuits in the pre-supplementary motor area and anterior insula [33,34,35^{*},36]. Error detection [37^{*},38^{**}] and task switching [39^{*},40], which are typical executive functions, can be triggered non-consciously. Even the maintenance of a stimulus in working memory may remain above the chance level for subliminal stimuli [41^{*}] — although this recent finding will need to be reconciled with the more frequent observation that subliminal priming drops to chance level after a second or less [42–44].

Overall, these findings support the view that virtually any cerebral processor may operate in a non-conscious mode. They challenge theories that associate conscious processing with a specific cognitive processor. For instance, the hypothesis that conscious perception coincides with the ability to deploy higher-order thoughts or metacognition (the brain’s ability to represent its own knowledge states) [45] does not bode well with evidence that self-monitoring, error detection and confidence assignment partially operate non-consciously [38^{**},46^{*},47].

Findings from subliminal research also eliminate some physiological theories of conscious processing. It is now clear that early changes in gamma band power (>30 Hz), once postulated as a marker of consciousness, can be evoked by a non-conscious stimulus [48^{**},49^{**}] and do not faithfully track variations in subjective reports [50]. Similarly, the views that recurrent interactions [51,52] and information integration [53,54] are necessary and

sufficient markers of conscious processing, although not directly refuted, are made implausible by empirical findings of non-conscious interactions between frontal and occipital regions [55], non-conscious integration of unseen visual contours [56^{*}], unseen objects in an unseen complex visual scene [57], or unseen words in the semantic or syntactic context of other words [14,22^{*}]. These operations are slow (~220–260 ms for contour integration, ~400 ms for semantic integration), clearly involve integration of multiple sources, and are unlikely to occur in a purely feedforward manner without recurrent interactions, and yet they occur non-consciously. Similarly, serial accumulation of evidence can occur without awareness [58,59].

Conscious access as an accumulation of evidence leading to an all-or-none ignition

What, if anything, remains unique to conscious processing? Although many cognitive operations can be partially launched non-consciously, these operations rarely if ever run to completion in the absence of consciousness. A subliminal stimulus may induce above-chance performance, behavioral priming, and a small amount of brain activity in narrowly defined brain networks, but these measures often increase dramatically as soon as the subject reports seeing the stimulus, especially in high-level areas [46^{*},60,61^{*}]. Accumulation of evidence has been demonstrated with non-conscious stimuli [59], but only conscious stimuli cross the threshold beyond which an overt strategy can be flexibly deployed [58].

Such findings vindicate the pre-theoretical idea that consciousness possesses a *threshold* that separates subliminal and supraliminal stimuli (*limen* is the Latin word for threshold). Several theorists propose that conscious perception occurs when the stimulus allows the accumulation of sufficient sensory evidence to reach a threshold, at which point the brain ‘decides’ whether it has seen anything, and what it is [62,63]. The mechanisms of conscious access would then be comparable to those of other decisions, involving an accumulation toward a threshold — with the difference that conscious perception would correspond to a global high-level ‘decision to engage’ many of the brain’s internal resources, not just a single effector [63]. The mathematical frameworks of signal detection theory and Bayesian decision making have been used to model subjective reports of seeing in normal subjects and blindsight patients [64,65]. Neural network models have also been proposed for how high-order cortices might accumulate metacognitive evidence about the state of other cortices, rather than about the external world, leading to a confident feeling of seeing [66].

Recurrent thalamo-cortical networks provide a simple and generic implementation of elementary stimulus categorization processes [67–69]. Recurrent NMDA

connections impose slow accumulation dynamics and multi-stable ‘all-or-none’ behavior, whereby the incoming evidence either quickly dies out (corresponding to subliminal processing) or is accumulated and amplified non-linearly into a full-blown state of high-level activity. This global ‘ignition’ has been proposed as a marker of conscious perception [70]. Indeed, empirically, when stimulus strength is varied, the early stages of non-conscious processing typically show a linear variation in activation, whereas conscious access is often characterized by a late non-linear amplification of activation which invades a distributed set of parietal, prefrontal and cingulate areas [58,60,61, for extensive review, see 70–72,73^{*},74]. In behavior, perceptual processing is continuous for subliminal stimuli, but becomes categorical when the stimulus is seen [75,76]. In EEG, MEG, and intracranial recordings, conscious stimuli, compared to matched non-conscious ones, induce a late (~300 ms) and sudden increase in slow event-related potentials (inducing a P3 wave on the scalp), gamma power and long-range beta and gamma synchrony [48^{**},49^{**},77]. Specific components such as the error-related negativity evoked after an erroneous motor response also follow this ‘all-or-none’ non-linear pattern [46^{*},78].

A direct relation between evidence accumulation and conscious visibility was demonstrated in a recent MEG experiment with gratings presented at threshold [79]. The subjective reports of seeing or not-seeing could be predicted on a single trial basis as a sum of gamma power present before the presentation of the stimulus (–300 to –100 ms) and long after it (+250 to +450 ms). Thus, whether a stimulus is detected seemed to be determined by an accumulation of pre-stimulus bias (‘prior’) and stimulus-evoked activation (‘evidence’) [see also 80].

Late ignition seems to provide a robust signature of conscious access. The contrast between an early linear variation in brain activity and a very late non-linear ignition has even been observed in 5, 12 and 15-month-old infants [81], leading to the tentative suggestion that infants too enjoy a conscious perception of visual stimuli, albeit at a much slower pace.

It remains debated, however, whether ignition is a unified process or whether it can be decomposed into a series of stages that correspond to pre-conscious, conscious and post-conscious processes [82^{*}]. The P3 wave may partly reflect processes that unfold after conscious access, such as executive attention, working memory updating, or the preparation of a behavioral report. When these processes are eliminated by making the stimulus irrelevant to the current task, its conscious perception may correlate solely with a transient posterior negativity of moderate size, peaking around ~300 ms [56^{*},83], although other studies continue to observe a large and long-lasting effect [84,85].

Conscious processing as global information sharing

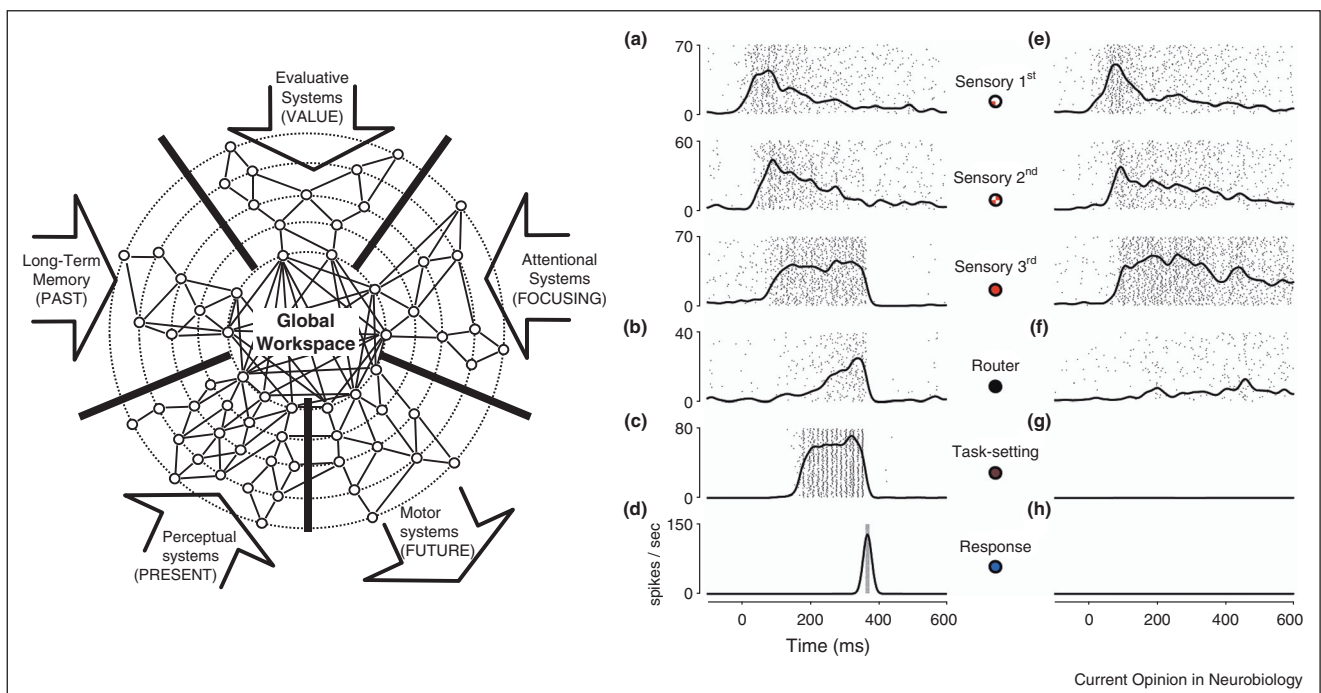
Global Neuronal Workspace (GNW) theory [2,86,87] proposes that conscious access stems from a cognitive architecture with an evolved function: the flexible sharing of information throughout the cortex [4]. While non-conscious stimuli are processed in parallel by specialized cortical processors, conscious perception would be needed in order to flexibly route a selected stimulus through a series of non-routine information processing stages. Global information sharing and routing would rely on a set of interconnected high-level cortical regions forming a 'global workspace' and involving primarily the dorsolateral prefrontal cortex, but also additional hubs in inferior parietal cortex, mid-temporal cortex, and precuneus, and now described as forming a 'rich club' network [88,89].

Behavioral research supports this idea in various ways. A subliminal prime often facilitates performance in a single task, but this non-conscious performance drops to chance level when the task requires a series of novel operations that involve 'piping' the output of one process to the input of another [90,91]. Likewise, a series of subliminal

primes can have cumulative non-conscious effects on a behavioral decision, but only conscious primes allow for the development of subsequent serial strategies [58,92,93].

The brain's routing system is capacity-limited, and this feature may explain the frequent failure of conscious perception in a dual-task setting. Conscious processing of a first target T1 causes a bottleneck on the routing of a subsequent target T2, either by dramatically postponing its processing (a phenomenon known as the 'psychological refractory period', PRP) or by preventing its conscious perception altogether ('attentional blink', AB). Recent evidence confirms that PRP and AB are tightly related phenomena that may occur within the same experiment [94]. Like AB, PRP causes a loss of conscious perception: the second target T2 is not only delayed, but also temporarily unperceived, such that its subjective onset is displaced to the moment when T1 processing finishes [95]. The minimal condition for creating these effects is that T1 is consciously perceived [96,97]. These effects have been related to a global parietal and prefrontal network [94,98], and have been partially captured in simulations of spiking neurons [69,99] (Figure 1).

Figure 1



Flexible information routing and conscious processing in large-scale models of the cortex. (left) Original depiction of the Dehaene-Changeux model of a Global Neuronal Workspace [GNW; Ref. 87]. The GNW model proposes that what we subjectively experience as a conscious state is the global availability of the corresponding information. Conscious access would occur when a piece of information enters a distributed network of cortical areas tightly interconnected by long-distance axons, the GNW, which allows its flexible broadcasting to any of the brain's many specialized processors. (right) Spiking-neuron simulation of a flexible routing system [Ref. 99]. While a first stimulus is processed and routed to an arbitrary response (left column, top to bottom), a second stimulus (right column) is also processed perceptually but is then blocked at the routing stage. This model captures in great detail two neuropsychological phenomena, the psychological refractory period and the attentional blink.

In order to be globally shared, conscious information should be represented by a stable and reproducible brain-scale assembly for a minimal duration. This stability criterion was explicitly tested in an fMRI study where brain activity patterns were more reproducible across trials for perceived than for unperceived stimuli [100]. Electro-encephalography and magneto-encephalography confirm that conscious processing causes sustained brain activity, often extending for several hundreds of milliseconds [29,84,85,101,102]. In intracranial recordings, conscious stimuli, but not non-conscious ones, trigger a sustained activation and the formation of a metastable state of long-distance phase synchrony in the beta band [48**]. Nevertheless, a debate remains, as some data suggest that local synchrony and reverberation may suffice for conscious perception [49**,103], while other experiments indicate that long-distance synchrony between prefrontal and occipital cortex may exist even under non-conscious conditions [55].

Consciousness as integrated information

According to Information Integration Theory (IIT) [53,54], global synchrony and re-entry may be needed, not just to globally share or broadcast a conscious stimulus, but, more essentially, to create an integrated representation of its various features. A precise mathematical formula (Φ is proposed to quantify the amount of integration of a system composed of multiple parts). High levels of Φ would be indicative of a conscious device (whether biological or artificial). Any system would possess a small amount of Φ and therefore some degree of consciousness (panpsychism). This formal framework is however limited in its ability to make specific behavioral and biological predictions. Indeed, Φ is impossible to compute in practice (only approximations exist [104]). Furthermore, this theory does not offer any neurophysiological mechanisms for why conscious perception follows a non-linear profile or why highly integrative semantic processes can be triggered non-consciously, as reviewed above.

A more modest proposal is that Φ and related quantities provide one of many possible signatures of the state of consciousness [104,105], simply because they reflect the brain's capacity to broadcast information in the global neuronal workspace, and therefore to entertain a ceaseless stream of episodes of conscious access and conscious processing [70]. Experimentally, mathematical measures of the complexity and global integration of brain signals do provide solid markers of the state of consciousness, particularly when contrasting wakefulness with sleep or anesthesia. Intracranial recordings in humans undergoing propofol anesthesia indicate a dramatic and sudden fragmentation of neural activity, which remains locally organized but globally disintegrated [106**, see also 107], possibly because prefrontal cortices are invaded by an alpha-like rhythm [108]. Some of these effects of

anesthetics are captured by a simple neuronal network model [109,110].

Most importantly, integration and long-distance cortical communications provide signatures of residual consciousness that are clinically applicable to patients recovering from coma. From behavior alone, the presence of consciousness may be quite difficult to detect, and functional MRI has revealed that a few patients in apparent vegetative state may, in fact, be fully conscious and 'locked-in' [111]. An exciting study indicates that the complexity of EEG waves evoked by a single TMS pulse to the cortex provides a strictly quantitative measure of the state of consciousness, with a bimodal distribution separating the awake state from sleep, anesthesia, coma or vegetative state [112**]. Similarly, an EEG measure of the amount of information shared by distant cortical sites provides a highly sensitive discrimination of patients in vegetative versus minimally conscious states, regardless of etiology and time elapsed since injury [113**]. Both observations suggest that global cortical communication provides an excellent index of conscious processing, and are in agreement with both GNW and IIT theories.

Conclusion

Consciousness research has truly come of age. Empirically, several candidate markers of conscious processing are now available. Theoretically, we reviewed three specific theoretical proposals that tentatively relate conscious processing, respectively, to global ignition, long-distance broadcasting, and information integration. These ideas are not necessarily incompatible. On the contrary, considerable convergence exists to suggest that firstly, conscious access triggers an all-or-none change in the state of distributed cortical networks; secondly, conscious processing corresponds to a massive cortico-cortical exchange of information, allowing flexible routing and therefore the slow serial performance of novel and arbitrary tasks; and finally, the state of consciousness, that is the brain's very ability to host a ceaseless stream of such all-or-none conscious episodes, rests upon the integrity of long-distance cortico-cortical exchanges, which can be continuously modulated by lesions or anesthetics and is reflected by electrophysiological indices of brain-wide information sharing.

Future research should investigate whether the proposed markers of conscious processing are generic and valid in all conditions, or whether some are more diagnostic than others. Above all, more detailed computational theories, framed as large-scale simulations of spiking neurons, will be needed to understand the conditions of their emergence in experimental recordings.

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